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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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Sheet

of

Complete if Known

Application Number	10/079,073
Filing Date	2/20/02
First Named Inventor	Deliwala
Art Unit	3653
Examiner Name	Not yet assigned
Attorney Docket Number	053168-50038108

U. S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ²	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ³ (if known)			
JD		US- 3883221	05-13-1975	Rigrod	
		US-			
		US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ²	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	†
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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Jennifer Doan

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Attachment to paper 1103

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
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ATTY. DOCKET NO.
53168-500301D8

SERIAL NO.
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)

APPLICANT
Shrenik Deliwala

FILING DATE
February 20, 2002

GROUP
Not yet known 2874

(37 C.F.R. § 1.98(b))

J1040 U.S. PTO
10/079073
02/20/02

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
JD	A	6	1	7	7	6	8	5	01/01	Teraguchi et al.			
JD	B	6	1	8	8	8	1	8	02/01	Han et al.			
JD	C	6	1	6	6	8	4	6	12/00	Maloney			
JD	D	6	0	7	5	9	0	8	06/00	Paniccia et al.			
JD	E	6	1	2	2	4	1	9	09/00	Kurokawa			
JD	F	5	8	6	4	6	4	2	01/99	Chun et al.			
JD	G	5	8	7	2	3	6	0	02/99	Paniccia et al.			
JD	H	5	8	3	5	6	4	6	11/98	Yishimura et al.			
JD	I	5	6	9	6	8	6	2	12/97	Hauer et al.			
JD	J	4	6	7	7	7	8	3	07/87	Cratsa			
JD	K	5	6	3	8	4	6	9	06/97	Feldman et al.	✓	✓	✓

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER							PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

JD		L	Michael C. Parker et al., Applications of Active Arrayed-Waveguide Gratings in Dynamic WDM Networking and Routing, Journal of Lightwave Technology, Vol. 18, No. 12, December 2000.
JD		M	Bardia Pezeshki et al., Vertical Cavity Devices as Wavelength Selective Waveguides, Journal of Lightwave Technology, Vol. 12, No. 10, October 1994.
JD		N	Lucent Technologies, Bell Labs Innovations, Arrayed Waveguide Grating Multiplexer/Demultiplexer, January 2000.
JD		O	Robert Shi and Tomasz Jansson, Optical Interconnection Foundations and Applications, Chapter 5, Integrated Optical Waveguide Routing - Micro-optics, Artech House, pp. 141-225.
JD		P	Amalia N. Miliou et al., A 1.3 μ m Directional Coupler Polarization Splitter by Ion Exchange, Journal of Lightwave Technology, Vol. 11, No. 2, February 1993.
JD		Q	Gijs J. M. Krijnen et al., A New Method for the Calculation of Propagation constants and Field Profiles of Guided Modes of Nonlinear Channel Waveguides Based on the Effective Index Method, Journal of Lightwave Technology, Vol. 12, No. 9, September 1994.

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Jennifer Dwyer

DATE CONSIDERED

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APPLICANT
Shrenik Deliwala.

(37 C.F.R. § 1.98(b))

FILING DATE
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2874

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
JD	R	5	6	2	9	8	3	8	05/97	Knight et al.			
	S	5	6	2	5	7	2	9	04/97	Brown			
	T	5	6	2	5	6	3	6	04/97	Bryan et al.			
	U	5	6	0	5	8	5	6	02/97	Goosen et al.			
	V	5	5	6	8	5	7	4	10/96	Tanguay, Jr. et al.			
	W	5	5	0	2	7	7	9	03/96	Magel			
	X	5	4	8	5	0	2	1	01/96	Abe			
	Y	5	4	3	4	4	3	4	07/95	Kasahara et al.			
	Z	5	4	3	2	6	3	0	07/95	Lebby et al.			
	AA	5	4	0	0	4	1	9	03/95	Heinen			
	BB	5	1	9	8	6	8	4	03/93	Sudo			

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												YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

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Jennifer Doan

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U.S. PATENT DOCUMENTS

EXAMINE R INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
Jp	CC	5	1	5	9	7	0	0	10/92	Reid, deceased et al.			
	DD	5	1	5	3	7	7	0	10/92	Harris			
	EE	5	0	6	1	0	2	7	10/91	Richard			
	FF	4	9	6	6	4	3	0	10/90	Weidel			
	GG	4	9	3	9	7	9	3	07/90	Stewart			
	HH	4	9	1	7	4	5	0	04/90	Pocholle			
	II	4	8	7	1	2	2	4	10/89	Karstensen			
	JJ	4	8	6	5	4	2	7	09/89	Kingston et al.			
	KK	4	7	6	1	6	2	0	08/88	Bar-Joseph et al.			
	LL	4	7	5	8	0	9	2	07/88	Heinrich et al.			
	MM	4	6	9	5	1	2	0	09/87	Holder			

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												YES	NO

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JD	NN	4	4	2	2	0	8	8	12/83	Gfeller	—	—	—

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													YES	NO

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Jennifer Doan

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Examiner Signature	<i>Jennifer D. Jones</i>	Date Considered	11/07/03
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